

## **Joint reflections note by the Presiding Officers of the Ad Hoc Working Group on the Paris Agreement, the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation**

### **Note by the Presiding Officers**

*16 August 2018*

### **Introduction**

1. The Conference of the Parties (COP) adopted the Paris Agreement in December 2015, through its decision 1/CP.21. The same decision mandated specific follow-up work, referred to as the Paris Agreement work programme (PAWP), to the subsidiary bodies – the Ad Hoc Working Group on the Paris Agreement (APA), the Subsidiary Body for Scientific and Technological Advice (SBSTA) and the Subsidiary Body for Implementation (SBI) – and the constituted bodies, as well as by the COP itself. The full list of mandates and scope of the PAWP are provided in the PAWP progress tracker.<sup>1</sup> Following their mandates from 1/CP.21, the APA, the SBSTA and the SBI have been advancing work on the PAWP in 2016, 2017 and 2018.

2. COP 23 provided an overview of the work of the COP and the subsidiary and constituted bodies on the PAWP,<sup>2</sup> and reiterated the request made by COP 22<sup>3</sup> to the subsidiary and constituted bodies to accelerate their work on the PAWP and to forward the outcomes to COP 24 (December 2018) at the latest.<sup>4</sup> Responding to those requests, the subsidiary bodies – the APA, the SBSTA and the SBI – continued advancing the PAWP-related items on their agendas during their meetings held in Bonn from 29 April to 10 May 2018. The status of progress under the PAWP is reflected on the PAWP online platform.<sup>5</sup>

3. On 8 May 2018, the President of the COP determined,<sup>6</sup> in accordance with decision 1/CP.23<sup>7</sup> that an additional negotiating session was needed to address matters relating to PAWP in accordance with annex I to decision 1/CP.23. He further decided that this additional session would be held from 4 to 9 September 2018, and relevant arrangements for this session to be held in Bangkok were made by the secretariat. At the conclusion of their sessions on 10 May 2018, the subsidiary bodies agreed to suspend their respective sessions and resume in Bangkok to take up agenda items related to the PAWP.

4. Also, at the conclusion of APA 1.5 in Bonn, the APA reiterated its recognition of the need to progress on all items in a coherent and balanced manner, and to ensure close coordination in the consideration of matters relating to the PAWP by the SBI, the SBSTA and the APA. The APA also requested its Co-Chairs to consider, in consultation with the Chairs of the SBSTA and the SBI, preparing, by mid-August 2018, a joint reflections note addressing progress made to date on the PAWP and proposing ways forward.<sup>8</sup> The Chairs of the SBSTA and the SBI indicated at their respective closing meetings their willingness to work together with the APA to strengthen coordination, including through the preparation of a joint reflections note.

5. This note, prepared by the Presiding Officers of the APA, the SBSTA and the SBI under their own responsibility, provides their reflections on the status of negotiations under the PAWP and on the way forward with a view to assisting Parties in their preparation for the upcoming sessions – APA 1.6, SBSTA 48.2 and SBI 48.2 – in Bangkok.

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<sup>1</sup> Available at <https://unfccc.int/process-and-meetings/the-paris-agreement/paris-agreement-work-programme>.

<sup>2</sup> See annex I to decision 1/CP.23.

<sup>3</sup> Decision 1/CP.22, paragraph 10.

<sup>4</sup> Decision 1/CP.23, paragraph 4.

<sup>5</sup> <https://unfccc.int/node/28798/>.

<sup>6</sup> See the information note to Parties available at

[https://unfccc.int/sites/default/files/resource/InfoNotetoPartiesonAdditionalSession\\_Revised.pdf](https://unfccc.int/sites/default/files/resource/InfoNotetoPartiesonAdditionalSession_Revised.pdf).

<sup>7</sup> Decision 1/CP.23, paragraphs 5–9.

<sup>8</sup> FCCC/APA/2018/2, paragraph 19.

## I. Making best use of Bangkok

6. Work on all PAWP agenda items has been progressing steadily. However, progress has been uneven, and in every area it remains insufficient for completing the mandated work by December this year. The documentation developed under the PAWP-related agenda items addressed by the APA, the SBSTA and the SBI contains multiple options, often in the form of bullet points, and remains, in most cases, a long way from negotiating text. The task we Presiding Officers have been given requires us to ensure, in Katowice, at COP 24 that:

- (a) All items mandated under the PAWP have been addressed and a substantive outcome has been delivered;
- (b) The degree of detail in the outcome of the PAWP enables all mechanisms, institutions and processes under the Paris Agreement to operate effectively and efficiently, in accordance with its objectives;
- (c) Any necessary follow-up work is clearly identified and allocated to the subsidiary or constituted bodies.

7. We also recognize that, while this note focuses on the PAWP, maintaining momentum of the other, non-PAWP items considered under the Convention and the Kyoto Protocol will be relevant and important for the overall outcome of COP 24.

8. Work must be accelerated significantly in Bangkok, and it will be essential to improve the completeness, coherency and consistency in the negotiations across all PAWP items so that they can all be brought to a comparable level of progress and preparedness before COP 24.

### Objective of the Bangkok session

9. In that respect, **the main objective of the additional session in Bangkok is to reach an agreed basis for negotiations for all PAWP items, reflecting clear and streamlined options, and with sufficient detail for the outcome of the session to be swiftly turned into draft decision text.** If Parties do not achieve this in Bangkok, a satisfactory outcome in Katowice will be in jeopardy. Achieving this objective will require Parties to:

- (a) Ensure concepts are formulated clearly, in decision-type language;
- (b) Identify or refine the critical issues to be resolved under each item of the PAWP, including where there are linkages between them, and define clear options for resolving these issues;
- (c) Consider the degree of detail needed in the outcome of each item of the PAWP.

10. As the Presiding Officers, we confirm our determination to work with Parties towards this objective, in close collaboration across all subsidiary bodies.

### Capturing the outcomes of Bangkok

11. To make and record the progress needed, it is our view that the **outcomes of the Bangkok session should be attached to the conclusions of the session of each body.**

12. We would like to encourage Parties to start considering the format of the relevant decision or decisions from the COP and the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (CMA), including considerations on which issues might need to be included at a decision level and which issues might best be included in technical guidance annexed to such decision or decisions.

13. Also, several Parties expressed views on whether the Katowice outcome should take the form of a single “omnibus” decision or multiple decisions on individual items; unless Parties agree otherwise, the outcomes of Bangkok should be without prejudice to resolution of this question in Katowice.

### Continued and strengthened cooperation among Presiding Officers

14. As the Presiding Officers, we have been strengthening our coordination throughout the year, including through regular coordination meetings during the April–May sessions of the subsidiary bodies and in a retreat in Brussels in June, so as to ensure a coherent approach to delivering the required outcome in Katowice. We confirm our determination to work with Parties to make sure that, despite the significant substantive differences among negotiation issues and their varying degree of maturity, Bangkok will deliver a comparable level of progress and preparedness with respect to all PAWP items across all subsidiary bodies. We will also work closely with the co-facilitators or co-chairs of all negotiation groups to monitor progress and to determine appropriate action that may be required to expedite the work or help clarify the issues.

15. We will be consulting Parties before and during the session, on how to achieve the objective of the session. If needed, we will consider organizing a meeting at the level of heads of delegations to discuss how the outcomes

of the session should be framed in order to make sure that in Bangkok we all have done our best to prepare for meaningful, comprehensive and ambitious completion of the PAWP in Katowice. We will also consider the organization of an informal plenary of the APA, the SBI and the SBSTA during the session to jointly take stock of progress.

#### Linkages

16. We have been working closely together on how to manage linkages between PAWP items, both within and across bodies. As part of the coordination effort, we organized an informal joint stocktaking plenary of the APA, the SBI and the SBSTA during their April–May 2018 sessions to address progress and linkages.

17. The round table on linkages to be organized by the APA in consultation with the SBSTA and the SBI<sup>9</sup> will be held on Monday, 3 September 2018, in Bangkok. It will be an opportunity for a holistic look at the key linkages, how they could be managed and how they need to be reflected in the PAWP outcomes. The provisional agenda for the round table, along with the framing questions for discussion, will soon be available on the UNFCCC website. We look forward to hearing Parties' ideas and proposals on how we can best manage linkages, both within and across the subsidiary bodies.

18. We will continue addressing linkages during meetings of the Presiding Officers before and during the Bangkok session and at any joint stocktaking events.

#### Time management

19. The Bangkok session is the last opportunity for all of us to advance the negotiations before we gather again in Katowice. If we use these few days in Bangkok effectively and efficiently, we will ensure COP 24 can deliver a comprehensive, ambitious and balanced outcome that will mark a decisive step forward by Parties in the implementation of the Paris Agreement.

20. But that can only happen if Parties make full use of each and every hour of this session. We have therefore asked the secretariat to allocate the meeting slots so as to maximize the amount of available time, to minimize “clashes” between meetings on related items, and to give each item on the PAWP agenda the best possible opportunity (consistently with the identified needs) to make comparable – and necessary – progress.

21. In Bangkok, we will have fewer days than at a regular session of the subsidiary bodies and will also face limitations of available meeting rooms, so we will work with Parties to overcome these challenges, recognizing the needs of small delegations and respecting the groups' coordination time. It of course remains important for meetings to start and finish on time.

#### Organization of work

22. Our preliminary reflections on the organization of work of the subsidiary bodies in Bangkok are presented in section II for the APA and section III for the SBI and the SBSTA. We will also consult Parties at their pre-session meetings on our approach to organizing the work at this session – through joint meetings where this is feasible. We have coordinated our approaches and are confident that all three bodies will be working in a focused, coherent manner, aiming to achieve the objective of the session across the board.

## **II. APA 1.6**

### **1. Organization of the session<sup>10</sup>**

23. In organizing the session, we the APA Co-Chairs intend to follow, as far as possible, the approach used at APA 1.5. To recap, this means:

(a) The APA will continue working in a single contact group on agenda items 3–8. The contact group will meet at least three times during the session: at an opening meeting to set the direction of work; at a mid-session meeting to assess progress and adjust guidance, if needed; and at a closing meeting to assess the results of the session and adopt conclusions;

(b) Negotiations on each APA agenda item will continue to be facilitated by a pair of co-facilitators, one from a Party not included in Annex I to the Convention (non-Annex I Party) and one from a Party included in Annex I to the Convention (Annex I Party). We aim for continuity in the co-facilitators' team

<sup>9</sup> FCCC/APA/2018/2, paragraph 25.

<sup>10</sup> See also the annotated agenda for the session contained in document FCCC/APA/2018/3.

as far as possible, with the list of all co-facilitators to be made available to Parties through the UNFCCC website in advance of the session;

(c) The same minimum number of hours of negotiating time will be allocated to each APA agenda item, including at least one two-hour slot – and we urge Parties to be punctual and efficient in using the allotted time;

(d) Clashes between meetings on agenda items with known substantive linkages will be avoided as much as possible.

24. We may, as necessary, hold bilateral meetings with groups or with interested Parties to identify possible ways forward if they are roadblocks on key issues under the APA including at the heads of delegation level, and where possible jointly with the SBSTA and SBI Co-Chairs, especially on cross-cutting or linkage issues.

25. We note that while we have always tried to avoid more than two negotiation meetings under the APA taking place at the same time, it may not be possible to adhere to this approach fully at the Bangkok session, given the urgent need to accelerate progress. Recalling that at the last session “the APA expressed its concern about the insufficient amount of time available for the deliberations on adaptation communications, finance-related issues and on the transparency framework for action and support, and requested the APA Co-Chairs to take these concerns into account in scheduling work at APA 1.6”,<sup>11</sup> we are committed to ensuring that these particular areas of negotiations receive adequate time in Bangkok.

## 2. Progressing on agenda items

26. At APA 1.5, Parties agreed that to facilitate deliberations at APA 1.6 (September 2018), the APA requested its Co-Chairs, with the assistance of, to the extent possible, the persons who facilitated work on the relevant matters at this session, to prepare, by 1 August 2018, tools that are additional to and based on the informal notes contained in the annex, including proposals for streamlining the outcome of the APA at this session and examples of how Parties could further progress towards the development of an agreed basis for negotiations, taking account of the level of maturity and delicate balance achieved to date for individual items. The streamlining should not imply the insertion or deletion of substantive concepts and textual narratives.

27. Responding to this mandate, we have prepared the set of tools published on the UNFCCC website on 2–6 August as informal document APA1.6.Informal.1 with several addenda, each tool being a separate addendum.<sup>12</sup> We prepared these tools on our own responsibility and they should be seen as additional to and based on the informal notes on the respective agenda items contained in the annex to the conclusions from APA 1.5.<sup>13</sup> We have made every effort possible to ensure that all options identified by Parties remain on the table, that no new substantive concepts or textual narratives have been inserted, and that no previous ones have been deleted. The content of the tools is without prejudice to the further deliberations of Parties on these matters and the tools do not in any way attempt to prejudge the outcome of Parties’ deliberations.

28. We invite Parties to use the tools as they deem appropriate to advance the negotiations at APA 1.6, keeping in mind the Bangkok session objective of achieving an agreed basis for negotiations for all agenda items.

29. This section contains our reflections on some of the key issues for each agenda item under the APA, with the understanding that a range of other important points will of course be covered during APA 1.6. We note that specific technical information on issues deliberated under the APA is available on the UNFCCC website.<sup>14</sup>

### Agenda item 3: Further guidance in relation to the mitigation section of decision 1/CP.21<sup>15</sup>

30. At APA 1.5, Parties made a considerable effort to streamline the informal note from APA 1.4. The outcome of that streamlining, in the format of a navigation tool,<sup>16</sup> is a significant advance in the negotiations under this agenda item. Nevertheless, much work is required to develop substance, clarify ideas and crystallize options to agree on a basis for negotiations.

<sup>11</sup> FCCC/APA/2018/2, paragraph 24.

<sup>12</sup> Available at <http://unfccc.int/event/apa-1-6>.

<sup>13</sup> FCCC/APA/2018/L.2/Add.1.

<sup>14</sup> The web pages on APA agenda items are accessible from the APA page at <https://unfccc.int/process/bodies/subsidiary-bodies/apa>.

<sup>15</sup> Background information about this agenda item and its mandate is available in the annotated agenda for the session (see document FCCC/APA/2018/3, paras. 7–11) and at <https://unfccc.int/process/bodies/subsidiary-bodies/ad-hoc-working-group-on-the-paris-agreement-apa/information-on-apa-agenda-item-3>.

<sup>16</sup> See [https://unfccc.int/sites/default/files/resource/APA1-5\\_item3\\_Final%20Iteration\\_8May.pdf](https://unfccc.int/sites/default/files/resource/APA1-5_item3_Final%20Iteration_8May.pdf).

31. In Bangkok, Parties should transform into options those substantive elements that need to be agreed at COP 24. Another focus area is to clarify the linkages to APA agenda item 5 (on transparency) and to the issues under Article 6 of the Paris Agreement that are being deliberated under the SBSTA.
32. Parties' work to streamline material at APA 1.5 means that for the features of nationally determined contributions (NDCs) and for the information to facilitate the clarity, transparency and understanding of NDCs, the documents have come very close to formulating clear, consolidated options. With further effort, a clean text to help conclude the negotiations successfully should be achievable in Bangkok.
33. On accounting, the several questions we formulated in our reflections note for APA 1.5 are outstanding and need to be clarified in Bangkok:
- (a) How should the accounting for NDCs reflect the principles contained in Article 4, paragraph 13, of the Paris Agreement, namely promoting environmental integrity, transparency, accuracy, completeness, comparability and consistency, and ensuring the avoidance of double counting?
  - (b) Is accounting about these principles or about specific rules, or some combination of both, or is it instead to be achieved through transparency?
  - (c) In the light of national determination and the diversity of NDCs, do targets need to be quantified as part of accounting? If so, what types of NDCs need to be quantified, in what circumstances and for what purposes?
  - (d) What level of detail in the guidance on accounting is required to ensure the Paris Agreement can function effectively in line with its Article 4, paragraph 13, as well as with decision 1/CP.21, paragraph 31?
34. Our tool for this agenda item<sup>17</sup> is based on the good progress Parties made during APA 1.5 and offers examples for how it might be possible to move towards more succinct options as well as pointing out areas where common understanding on how to operationalize these elements may be emerging.

Agenda item 4: Further guidance in relation to the adaptation communication<sup>18</sup>

35. The discussions at APA 1.5 helped improve understanding among Parties, in particular of matters covered in sections 5, 6 and 7 of the informal note<sup>19</sup> and its annex I. All sections of the informal note now need to be considered in depth so that options are well formulated, including for open questions, such as the structure of annex I and the approach to annex II. Removing the remaining duplications, such as those between section 2 (on purpose) and annex I (on types of information) would improve the clarity of the text. The key substantive questions for this item – such as (1) whether the APA should develop specific guidance for some of the possible reporting vehicles, (2) whether some information should be common for all Parties to submit and (3) how the guidance should refer to international support – need to be answered in the form of clear text or options. We have noted Parties' requests for sufficient allocation of time to discuss these aspects and progress on work under this agenda item.
36. The joint meeting between the negotiation groups for agenda items 4 and 5, organized at the last session, was useful. We invite Parties to continue discussing and addressing linkages, including at the pre-session round table.
37. Our tool for this item<sup>20</sup> provides examples for how the text could be improved and made more workable in four main ways:
- (a) Including proposals contained in the attachment within the text of the original informal note;
  - (b) Combining similar proposals, where possible;
  - (c) Adding short explanatory notes at the beginning of each section to provide an overview of the changes made, and to suggest next steps, including possible streamlining of paragraphs within each section;
  - (d) Mapping, at the end of the tool, paragraphs that seem to contain similar or related issues.

<sup>17</sup> APA1.6.Informal.1.Add.1, available at: <https://unfccc.int/event/apa-1-6>.

<sup>18</sup> Information about this agenda item and its mandate is available in the annotated agenda for the session (see document FCCC/APA/2018/3, paras. 12–14) and at <https://unfccc.int/process/bodies/subsidiary-bodies/ad-hoc-working-group-on-the-paris-agreement-apa/information-on-apa-agenda-item-4>.

<sup>19</sup> <https://unfccc.int/sites/default/files/resource/Final%20iteration%20informal%20note.pdf>.

<sup>20</sup> APA1.6.Informal.1.Add.2, available at: <https://unfccc.int/event/apa-1-6>.

Agenda item 5: Modalities, procedures and guidelines for the transparency framework for action and support<sup>21</sup>

38. The co-facilitators' informal note on this item prepared at APA 1.5<sup>22</sup> is reflective of the good progress made at the session, but also highlights the requirement for sufficient technical details to develop workable modalities, procedures and guidelines (MPGs) across the broad scope of the transparency framework. As noted in the APA 1.5 conclusions,<sup>23</sup> it was clear there was insufficient time to thoroughly address all components of this agenda item (in relation to both action and support).

39. The informal note contains views and proposals from Parties identifying the technical details necessary to form the MPGs. However, a lot remains to be done:

(a) In a number of areas, conceptual clarity needs to be improved. This includes information on tracking progress made in implementing and achieving NDCs under Article 4 (e.g. whether reporting on the NDCs should simply involve a cross reference to information to facilitate clarity, transparency and understanding (ICTU), or be self-standing in some way); the relationship between information on climate change impacts and adaptation under Article 7, as appropriate under APA item 5, and adaptation communications under APA item 4; and information on support provided and mobilized, as well as support needed and received;

(b) Views and proposals need to be better clarified. This includes further elaborating technical details and developing succinct textual proposals; reducing redundancy; ensuring views and proposals are internally consistent and coherent; and identifying and developing clear options where necessary;

(c) One of the key principles of the transparency framework is the provision of flexibility to those developing country Parties that need it in the light of their capacities. Parties will need to find an appropriate formula to reflect flexibility in the MPGs;

(d) Parties will need to consider the practical implications of decision 1/CP.21, paragraph 98, in determining how the MPGs can build upon and eventually supersede the measurement, reporting and verification system established by decision 1/CP.16, paragraphs 40–47 and 60–64, and decision 2/CP.17, paragraphs 12–62. Consistently with the Paris Agreement outcome, this will need to occur immediately following the submission of the final biennial reports and biennial update reports;

(e) There are a number of areas where technical details relating to transparency are linked to issues considered in PAWP-related items under the SBI and the SBSTA, such as the SBSTA item on the modalities for the accounting of financial resources provided and mobilized (as per Article 9, paragraph 7, of the Paris Agreement). Parties will need to find optimal ways to manage these linkages so the work can progress smoothly and without duplication.

40. Our tool for this item<sup>24</sup> aims to improve the readability of the material Parties have developed at previous sessions. To achieve this, we streamlined the outcome of APA 1.5, without inserting or deleting any substantive concepts, in order to improve clarity, reduce duplication and redundancy, improve the flow of information, and identify options and sub-options.

41. The tool provides examples of how Parties could develop an agreed basis for negotiations at APA 1.6. It should help Parties assess the level of technical detail essential for the effective operation of the transparency framework once it comes into operation.

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<sup>21</sup> Background information about this agenda item and its mandate is available in the annotated agenda for the session (see document FCCC/APA/2018/3, paragraphs 15–18) and at <https://unfccc.int/process/bodies/subsidiary-bodies/ad-hoc-working-group-on-the-paris-agreement-apa/information-on-apa-agenda-item-5>.

<sup>22</sup> [https://unfccc.int/sites/default/files/resource/APA%20item%205\\_informal%20note\\_final%20iteration\\_09052018%201514.pdf](https://unfccc.int/sites/default/files/resource/APA%20item%205_informal%20note_final%20iteration_09052018%201514.pdf).

<sup>23</sup> FCCC/APA/2018/2, paragraph 24.

<sup>24</sup> APA1.6.Informal.1.Add.3, available at: <https://unfccc.int/event/apa-1-6>.

Agenda item 6: Matters relating to the global stocktake referred to in Article 14 of the Paris Agreement<sup>25</sup>

42. At APA 1.5, Parties made further steps towards identifying sources of input for, and developing the modalities of, the global stocktake (GST).<sup>26</sup> We encourage Parties to clarify their positions on specific issues (e.g. duration, governance), and to focus on identifying clear proposals for areas of convergence. It is crucial at this time that convergence – or clear options – be found for such key questions as how elaborate the GST process should be, and what is meant, in practical terms, by the comprehensiveness of the GST. Where there are differences of views, a small number of distinct options should be defined.

43. Our tool for this agenda item<sup>27</sup> presents Parties' views as distinct options and sub-options, and assigns paragraph numbers to bullets for ease of reference. Very minimal non-substantive changes were made to the material included in the informal note from APA 1.5. We also identified some questions and/or comments, with the aim of guiding Parties to make real progress in Bangkok, and provided some examples of possible further streamlining of the text.

Agenda item 7: Modalities and procedures for the effective operation of the committee to facilitate implementation and promote compliance<sup>28</sup>

44. At APA 1.5, Parties started populating the structure developed at APA 1.4 with substantive content, as the latest informal note shows.<sup>29</sup> In their further deliberations, Parties may wish to pay particular attention to:

- (a) Identifying the crucial elements needed to operationalize the mechanism and possible packages around those;
- (b) Spelling out the modalities, in particular the operational sections, as text;
- (c) Using cross references (particularly for cross-cutting issues such as principles and safeguards) to avoid duplication and provide clear context;
- (d) Developing coherent text on the processes to apply to the main options on the table for initiation, scope and measures;
- (e) Developing text on the process to apply to systemic issues.

45. Our tool for this item<sup>30</sup> is structured as follows:

- (a) The first part of the tool, contained in its annex I, covers the full scope of the informal note. Sections A–C remain as they were in the informal note and are included for completeness and to ensure that all options are included;
- (b) Section H on systemic issues is also reflected in Annex I without changes, while annex II proposes a graph and guiding questions aimed at helping advance the discussion on the systemic role of the mechanism during APA 1.6;
- (c) Furthermore, Annex I includes examples developed for sections E to L which aim to demonstrate how elements related to the process of consideration by the committee with respect to individual Parties and groups of Parties as well as elements related to sources of information, relationship with the CMA, any review of the modalities and procedures and the provision on the secretariat support could be elaborated while reflecting different options.

46. It is time for Parties to improve their understanding of the flow of the options and the connection between the various options under different aspects currently reflected in the text from Bonn.

<sup>25</sup> Background information about this agenda item and its mandate is available in the annotated agenda for the session (see document FCCC/APA/2018/3, paras. 19–22) and at <https://unfccc.int/process/bodies/subsidiary-bodies/ad-hoc-working-group-on-the-paris-agreement-apa/information-on-apa-agenda-item-6>.

<sup>26</sup> [https://unfccc.int/sites/default/files/resource/Informal%20note\\_Final\\_Iteration\\_08052018\\_1300.pdf](https://unfccc.int/sites/default/files/resource/Informal%20note_Final_Iteration_08052018_1300.pdf).

<sup>27</sup> APA1.6.Informal.1.Add.4, available at: <https://unfccc.int/event/apa-1-6>.

<sup>28</sup> Background information about this agenda item and its mandate is available in the annotated agenda for the session (see document FCCC/APA/2018/3, paragraphs 23–26) and at <http://unfccc.int/bodies/apa/items/10167.php>.

<sup>29</sup> [https://unfccc.int/sites/default/files/resource/APA%207\\_Informal%20Note\\_8May2018\\_final%20for%20posting.pdf](https://unfccc.int/sites/default/files/resource/APA%207_Informal%20Note_8May2018_final%20for%20posting.pdf).

<sup>30</sup> APA1.6.Informal.1.Add.5, available at: <https://unfccc.int/event/apa-1-6>.

Agenda item 8: Further matters related to implementation of the Paris Agreement

*Adaptation Fund*<sup>31</sup>

47. At APA 1.5, Parties made good progress on this item,<sup>32</sup> particularly in their consideration of what might be included in future Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP)<sup>33</sup> and CMA decisions. We believe Parties are now close to finalizing their deliberations and encourage focused discussions to refine and streamline the options into draft decision text addressing governance and institutional arrangements, safeguards and operating modalities of the Adaptation Fund.<sup>34</sup> Focus is needed on the critical questions within the APA mandate on this item, which need to be resolved this year for the Adaptation Fund to serve the Paris Agreement. Our tool for this item aims to provide some assistance in this regard.<sup>35</sup>

*Further matters related to implementation of the Paris Agreement*<sup>36</sup>

48. At APA 1.5, Parties continued their consideration of ways forward on the remaining possible additional matters relating to the implementation of the Paris Agreement, referred to in the report of APA 1.3,<sup>37</sup> namely:

- (a) Modalities for biennially communicating finance information on the provision of public financial resources to developing countries in accordance with Article 9, paragraph 5, of the Paris Agreement;<sup>38</sup>
- (b) Initial guidance by the CMA to the operating entities of the Financial Mechanism (Green Climate Fund and Global Environment Facility) under Article 9, paragraph 8, of the Paris Agreement, and decision 1/CP.21, paragraphs 58 and 61–63;
- (c) Initial guidance by the CMA to the Least Developed Countries Fund and the Special Climate Change Fund in accordance with decision 1/CP.21, paragraph 58;
- (d) Guidance by the CMA on the adjustment of existing NDCs under Article 4, paragraph 11, of the Paris Agreement;
- (e) Setting a new collective quantified goal on finance in accordance with decision 1/CP.21, paragraph 53.

49. Parties also considered an in-session submission that called for space to consider modalities, procedures and guidelines for enhancing understanding, action and support for loss and damage in accordance with Article 8, paragraphs 3 and 4, of the Paris Agreement.

50. In addition, Parties considered sub-item 8(b) of the APA agenda (“Taking stock of progress made by the subsidiary and constituted bodies in relation to their mandated work under the Paris Agreement and section III of decision 1/CP.21, in order to promote and facilitate coordination and coherence in the implementation of the work programme, and, if appropriate, take action, which may include recommendations”), and made recommendations

<sup>31</sup> Background information about this issue and its mandate is available in the annotated agenda for the session (see document FCCC/APA/2018/3, paragraphs 29–31) and at <http://unfccc.int/bodies/apa/items/10158.php>.

<sup>32</sup> [https://unfccc.int/sites/default/files/resource/InformalNote\\_APA1.5\\_Item8AF%209%20May\\_final\\_revised.pdf](https://unfccc.int/sites/default/files/resource/InformalNote_APA1.5_Item8AF%209%20May_final_revised.pdf).

<sup>33</sup> According to decision 1/CMP.13, paragraph 12, the Adaptation Fund shall serve the Paris Agreement subject to and consistent with decisions to be taken at CMP 1.3 (December 2018), in accordance with decision 1/CMA.1, paragraph 11.

<sup>34</sup> See document FCCC/CP/2016/10, paragraph 18, and decision 1/CP.22, paragraph 14. Decision 1/CMP.13, paragraph 14, notes the progress of the APA in undertaking the necessary preparatory work to address governance and institutional arrangements, safeguards and operating modalities for the Adaptation Fund to serve the Paris Agreement, including sources of funding, to be defined by Parties, and looks forward to the recommendations thereon from the APA in 2018.

<sup>35</sup> APA1.6.Informal.1.Add.6, available at: <https://unfccc.int/event/apa-1-6>.

<sup>36</sup> Background information about this issue and its mandate is available in the annotated agenda for the session (see document FCCC/APA/2018/1, paras. 32 and 33) and at <http://unfccc.int/bodies/apa/items/10158.php>.

<sup>37</sup> FCCC/APA/2017/2, paragraph 31, and footnote 26.

<sup>38</sup> Decision 12/CP.23, paragraph 5, requested the SBI to consider, beginning at its forty-eighth session and at any subsequent sessions on the PAWP, the identification of the information to be provided by Parties in accordance with Article 9, paragraph 5, of the Paris Agreement, and to forward the outcomes to COP 24 with a view to the COP providing a recommendation for consideration and adoption by the CMA at CMA 1.3.



on how to ensure coordination and coherence in the implementation of the PAWP. Relevant outcomes are reflected in the conclusions from APA 1.5,<sup>39</sup> and will be implemented, as appropriate, at APA 1.6. Deliberations on the matters under this agenda sub-item will continue at APA 1.6.

51. The informal note from APA 1.5,<sup>40</sup> including the submissions attached to the note, provides an overview of the discussions and the options for moving forward, as well as the discussions relating to sub-item 8(b) of the APA agenda.

52. Our tool<sup>41</sup> draws upon proposals, ideas and options expressed by Parties during the APA negotiations on each of the five remaining possible additional matters and in the submissions made in session. We believe Parties are now close to agreeing on most of the recommendations that would be made by the APA to CMA 1.3 through COP 24 on the matters referred to in paragraph 48 above.

### III. SBSTA 48.2 and SBI 48.2

#### 1. Organization of the session<sup>42</sup>

53. The SBI and the SBSTA adopted their agendas at the 1<sup>st</sup> meetings of their forty-eighth session, on 30 April 2018. At their 4<sup>th</sup> meetings, the SBI and the SBSTA, following the determination in accordance with decision 1/CP.23<sup>43</sup> that an additional negotiating session was needed to facilitate the timely completion of the PAWP, suspended their forty-eighth session. Parties were informed that the session would resume in Bangkok to address matters relating to the PAWP in accordance with annex I to decision 1/CP.23.<sup>44</sup>

54. As emphasized in our introduction, we, as Presiding Officers, are committed to making best use of the session in Bangkok, and we will consult extensively with Parties before and during the session regarding how to achieve the objective of the session. Our initial reflection is that we intend to apply the following approach for the organization of work at SB 48.2:

- (a) The SBI and the SBSTA will set the direction of their work at the opening plenary and will adopt their respective conclusions at the closing plenary of the SBSTA and the SBI;
- (b) After the opening plenary, the SBI and the SBSTA will move swiftly to continue their work in the negotiation groups under each of the PAWP items of their respective agendas (see para. 53 above) under consideration by the SBI (items 5, 6, 7, 11, 12, 14(a), 15 and 17(b)) and by the SBSTA (items 4, 5, 9(b), 12(a–c) and 13);
- (c) The negotiation groups will be facilitated by a pair of co-facilitators or co-chairs, one from a non-Annex I Party and another one from an Annex I Party. We aim for continuity in the co-facilitators' team as far as possible, with the list of all co-facilitators to be made available to Parties through the UNFCCC website in advance of the session;
- (d) The negotiating groups will be requested to focus on preparing revised informal notes or documents by the end of the session, and those revised notes should reflect clear and streamlined options, and be formulated, for all PAWP items, with sufficient detail for the outcome of the session to be swiftly turned into draft decision text, with the outputs to be attached to the conclusions of the session, as stated in paragraph 11 above;
- (e) We may, as necessary, hold bilateral meetings with groups or with interested Parties to identify possible ways forward if they are roadblocks on key issues under the SBI and the SBSTA, including at the heads of delegation level, and where possible jointly with the APA Co-Chairs, especially on cross-cutting or linkage issues;
- (f) We will, in particular, consult on how to ensure that coherent and comparable conclusions can be adopted at the closing plenary meeting of the SBI and the SBSTA for all agenda items, to contribute to the

<sup>39</sup> FCCC/APA/2018/2, paragraphs 16–27.

<sup>40</sup> Available at [https://unfccc.int/sites/default/files/resource/APA1-5\\_IN\\_i8ab\\_AF.pdf?download](https://unfccc.int/sites/default/files/resource/APA1-5_IN_i8ab_AF.pdf?download).

<sup>41</sup> APA1.6.Informal.1.Add.7, available at: <https://unfccc.int/event/apa-1-6>.

<sup>42</sup> See also the annotated agendas for the session contained in documents FCCC/SBSTA/2018/5 and FCCC/SBI/2018/10.

<sup>43</sup> Decision 1/CP.23, paragraphs 5–9.

<sup>44</sup> FCCC/SBSTA/2018/4, paragraphs 116 and 121, and FCCC/SBI/2018/9, paragraphs 160 and 165.

overall outcome of Bangkok and to set the scene for success in Katowice. In undertaking these consultations, we the Presiding Officers will work closely together to ensure that this aim can be met;

(g) The same minimum number of hours of negotiating time will be allocated to each agenda item under consideration by the SBI and the SBSTA, including when necessary two-hour slots, and we urge Parties to be punctual and efficient in using the allotted time. Together with the other Presiding Officers and the secretariat, we will make sure that clashes between meetings on items with known substantive linkages will be avoided as much as possible.

## **2. Progressing on SBSTA 48.2 agenda items<sup>45</sup>**

55. This section includes the objectives of each agenda item, reflections by the SBSTA Chair on the progress made, and proposed key issues to which Parties may wish to pay attention when furthering their deliberation at SBSTA 48.2, bearing in mind there may be other important aspects that Parties may wish to raise or discuss during SBSTA 48.2.

### Agenda item 5: Development and transfer of technologies: technology framework under Article 10, paragraph 4, of the Paris Agreement

56. The objective of this agenda item is to elaborate the technology framework established under Article 10, paragraph 4, of the Paris Agreement to provide overarching guidance to the work of the Technology Mechanism in promoting and facilitating enhanced action on technology development and transfer in order to support the implementation of the Paris Agreement.

57. At SBSTA 48.1, Parties continued their deliberation on the basis of an informal document containing an initial draft of the technology framework prepared by the SBSTA Chair in response to the mandate from SBSTA 47.<sup>46</sup> Parties worked in a constructive and cooperative manner and were able to make good progress. They produced an updated draft of the technology framework that captured Parties' deliberations on four out of five key themes: innovation, implementation, enabling environment and capacity-building, and support.<sup>47</sup> Parties also initiated a discussion on interlinkage issues and agreed to continue their elaboration of the framework, including its structure, using the updated draft as the basis for their further work in Bangkok.

58. I encourage Parties, in progressing their work in Bangkok, to pay attention to the following key issues:

(a) Completing the deliberation of all elements contained in the updated draft technology framework and in particular those that were not discussed at SBSTA 48.1, and transforming the current draft into a full draft text that contains clear and coherent guidance to the Technology Mechanism;

(b) Clarifying the structure and/or arrangement of the technology framework and ensuring that any interlinkages with other provisions of the Paris Agreement (such as the transparency framework and the global stocktake) are adequately addressed.

59. Parties are encouraged to expedite their work and to complete the technical discussion of the technology framework in Bangkok.

### Agenda item 12: Matters relating to Article 6 of the Paris Agreement

60. The objective of this agenda item is to elaborate guidance for cooperative approaches referred to in Article 6, paragraph 2; develop rules, modalities and procedures for the mechanism established by Article 6, paragraph 4; and develop the draft decision on the work programme for the framework for non-market approaches referred to in Article 6, paragraph 8.

61. At SBSTA 48.1, work progressed slowly, but good technical progress was made and Parties clarified their views in a detailed and precise manner that was helpful to understanding the implications of options for implementation. Parties used the three informal notes prepared by the SBSTA Chair in response to the mandate from SBSTA 47 (one note for each of Article 6, paragraph 2, Article 6, paragraph 4, and Article 6, paragraph 8) to facilitate their deliberations at the session. These notes were revised by the co-chairs of the sub-agenda items

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<sup>45</sup> Background information about agenda items and their mandates is available in the annotated agenda for the session (see document FCCC/SBSTA/2018/5).

<sup>46</sup> Available at <https://unfccc.int/sites/default/files/resource/docs/2018/sbsta/eng/sbsta48.informal.1.pdf?download>.

<sup>47</sup> Available at [https://unfccc.int/sites/default/files/resource/SBSTA48\\_IN\\_i5\\_v08May.pdf?download](https://unfccc.int/sites/default/files/resource/SBSTA48_IN_i5_v08May.pdf?download).

during the session on the basis of Parties' views. Parties agreed to use the revised informal notes as the basis for discussions at SBSTA 48.2.

62. During SBSTA 48.2, given the limited time available and the complexity of the issues under discussion, Parties need to focus their work and identify the issues within the informal notes that are essential for the Katowice outcomes on Article 6 so that Article 6 can start to be implemented. Identification of these issues should enable Parties to move swiftly in addressing them and producing during the Bangkok session updated drafts of the informal notes or draft texts for each of the agenda sub-items, with clear options that could be used as the basis for finalizing work on the essential issues in Katowice. Parties could then identify the issues that might require follow-up work at a technical level by the subsidiary bodies in 2019, or in the case of the mechanism established by Article 6, paragraph 4, by the supervisory body after its operation has been launched at CMA 1.3.

63. Regarding the form of what will be adopted at Katowice, Parties may find it useful to start envisaging what the draft decision for each of the three agenda sub-items would require and what would be in the annex, if anything, for each sub-item, as discussed in paragraph 12 above. Doing so may also help Parties organize their views on the necessary follow-up work at a technical level referred to in paragraph 62 above.

Agenda item 13: Modalities for the accounting of financial resources provided and mobilized through public interventions in accordance with Article 9, paragraph 7, of the Paris Agreement

64. The objective of this agenda item is to develop modalities for the accounting of financial resources provided and mobilized through public interventions in accordance with Article 9, paragraph 7, of the Paris Agreement for timely incorporation into the modalities, procedures and guidelines to be developed by the APA under its agenda item 5, "Modalities, procedures and guidelines for the transparency framework for action and support referred to in Article 13 of the Paris Agreement."

65. At SBSTA 48.1, Parties continued their deliberations on this matter, and the outcomes are reflected in the informal note by the co-chairs of the relevant contact group. The SBSTA agreed to continue its work on this matter at SBSTA 48.2, building on, but not limited to, the aforementioned informal note, views expressed and submissions made, and to forward the outcomes to COP 24 with a view to the COP making a recommendation for consideration and adoption at CMA 1.

66. The technical discussions on the elements of the modalities for the accounting of financial resources provided and mobilized through public interventions in accordance with Article 9, paragraph 7, of the Paris Agreement have been thorough. Nevertheless, there is still work to be done to bring this matter to fruition. In Bangkok, I encourage Parties to work on the following key issues:

- (a) Formulate the textual elements into draft decision text, with clear, limited options where there is no explicit consensus;
- (b) Ensure there is clarity on how the work under this agenda item will feed into work under APA agenda item 5.

### **3. Progressing on SBI 48.2 agenda items<sup>48</sup>**

67. This section contains the objectives of each agenda item, reflections by the SBI Chair on the progress made and some proposed key issues that Parties may wish to pay attention to when furthering their deliberation at SBI 48.2, bearing in mind that there may also be a range of other important aspects that Parties may wish to raise or discuss during this session.

Agenda item 5: Common time frames for nationally determined contributions referred to in Article 4, paragraph 10, of the Paris Agreement

68. The objective of this agenda item is to agree on a common starting date and duration of the implementation period for NDCs and on a date for communicating NDCs for a particular implementation period. An agreement on common time frames is essential for synchronizing the cycle of communicating and implementing NDCs, which may in turn facilitate the global stocktake and any other relevant action to enhance ambition.

69. At SBI 48.1, on the basis of views submitted before and during the session, Parties advanced discussion on relevant issues related to this agenda item, including but not limited to the time of applicability of, usefulness of and options for common time frames, as well as the advantages and disadvantages of those options. I note, however,

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<sup>48</sup> Background information about agenda items and their mandates is available in the annotated agenda for the session (see document FCCC/SBI/2018/10).

there was no agreement on preparing a facilitators' note to capture the progress, even though SBI 48.1 welcomed the views submitted before and during the session.

70. In Bangkok, Parties need to continue working diligently on the issues they identified in Bonn with a view to understanding and transforming into options those substantive issues that need to be agreed at COP 24. This work and the options need to be captured in a text. It is my assessment that the SBI needs to clarify answers to the following questions to enable further progress on the item:

- (a) What is the duration of NDCs (start and end date)? Taking into account the requirement from Article 4, paragraph 9, of the Paris Agreement that each Party shall communicate an NDC every 5 years, three main options exist: 5 years, 10 years and '5+5' years (first 5 years with mandatory NDC and second 5 years with indicative NDC);
- (b) What would be the date for communicating NDCs for a particular implementation period?
- (c) How would the flexibility accorded to developing countries under the Paris Agreement be implemented with regard to common time frames? This matter has not yet been discussed, but was raised in the submissions.

71. I am confident that Parties, working with the same engagement as at SBI 48.1, will be able to move in Bangkok to a text that will enable successful completion of the negotiations on this item in Katowice.

Agenda item 6: Development of modalities and procedures for the operation and use of a public registry referred to in Article 4, paragraph 12, of the Paris Agreement

72. The objective of this agenda item is to define the modalities and procedures (M&P) that will provide a basis and guidance for establishing and operationalizing the public registry maintained by the secretariat to record NDCs submitted by Parties, as referred to in Article 4, paragraph 12, of the Paris Agreement. The registry under Article 4, paragraph 12, is essential to implementing the Paris Agreement as it provides Parties with an official platform to communicate, record and maintain successive NDCs. The M&P will need to reflect the outcome from the discussion under APA agenda item 3 on the information that needs to be submitted in conjunction with NDCs. The discussion on the M&P for the registry under Article 4, paragraph 12, is linked to the discussion on the M&P for the registry under Article 7, paragraph 12 (see para. 76 below).

73. The progress in Bonn on this item was relatively slow, and the SBI could only adopt procedural conclusions. I will discuss, during my pre-sessional consultations in Bangkok, the sensitive issues raised by Parties in Bonn with a view to gaining agreement on how to efficiently organize the work of the SBI in Bangkok, where the discussions should focus on resolving the technical aspects of the issues under consideration.

74. It is my assessment that Parties are converging on a number of technical elements that will guide the design and operationalization of the public registry, its key functions, and the roles and responsibilities of the various actors involved. Progress was captured at SBI 47 in a facilitators' note that will serve as the basis for discussions in Bangkok. Parties will need to discuss further the details of the elements reflected in the note and again capture progress in a revised facilitators' note that should clarify:

- (a) The purpose of the registry under Article 4, paragraph 12; that is, whether it should be only a repository of NDC submissions or go beyond that;
- (a) The modalities for the operation of the public registry under Article 4, paragraph 12, including the use of a web-based platform and tabular formats, user-friendliness, flexibility, Internet security measures, notifications of updates and preservation of the integrity of the NDCs;
- (b) The procedures for the use of the registry under Article 4, paragraph 12, including guidance on its use that may encompass communicating and recording NDCs, maintaining historical records of previously communicated NDCs, and viewing, downloading and printing of recorded NDCs;
- (c) The governance arrangements for the registry under Article 4, paragraph 12, including specifying the roles of the various actors involved, such as Parties and the secretariat.

75. I encourage Parties to turn their attention to the substantive elements of the agenda item so as to move to a text that will enable successful completion of the negotiations on this item in Katowice.

Agenda item 7: Development of modalities and procedures for the operation and use of a public registry referred to in Article 7, paragraph 12, of the Paris Agreement

76. The objective of this item is to define the modalities and procedures for the use and operation of the registry for adaptation communications referred to in Article 7, paragraph 12, of the Paris Agreement. The modalities and procedures will need to reflect the outcome from the discussion under APA agenda item 4 on adaptation

communications, as well as any outcomes from the discussions under the APA on how information on adaptation communications will be treated and handled in supporting the global stocktake and transparency mechanism under the Paris Agreement (APA agenda items 2 and 6, respectively). The discussion on the modalities and procedures for the registry under Article 7, paragraph 12, is linked to the discussion on the modalities and procedures for the registry under Article 4, paragraph 12, given the similarities in some of their operational and technical requirements, and there is a possibility a platform could accommodate both the registries, on NDCs and adaptation communications.

77. The progress in Bonn on this item was also relatively slow and, similarly to agenda item 6, the SBI could only adopt procedural conclusions. One of the reasons was that Parties needed sufficient time to unpack some key sensitive issues in relation to the registry under Article 7, paragraph 12, before engaging in discussion on the substantive elements of this matter. I will discuss, during my pre-sessional consultations in Bangkok, these key sensitive issues raised by Parties in Bonn with a view to gaining agreement on how to efficiently organize the work of the SBI in Bangkok, where the discussions should focus on resolving the technical aspects of the issues under consideration.

78. Progress under this agenda item was captured at SBI 47 in a facilitators' note that will serve as the basis for further discussions in Bangkok. Parties will need to discuss the details of the elements reflected in the note and again capture progress in a revised facilitators' note that should clarify:

- (a) The purpose of the public registry for adaptation communications under Article 7, paragraph 12; that is, whether it should be only a repository of adaptation communication as envisaged in Article 7, paragraph 12, and Article 7, paragraph 10, for the recognition of adaptation efforts, or go beyond that;
- (b) The modalities for the operation of the registry under Article 7, paragraph 12, including guidance on its design and operation, which may encompass employing the best available web technologies to implement the registry in a way that best suits its agreed purpose;
- (c) The procedures for the use of the registry under Article 7, paragraph 12, including in recording, maintaining, updating and archiving information submitted by Parties as part of their adaptation communications;
- (d) The governance arrangements for the registry under Article 7, paragraph 12, including specifying the roles of the various actors involved, such as Parties and the secretariat.

79. I encourage Parties to turn their attention to the substantive elements of the agenda item so as to move to a text that will enable successful completion of the negotiations on this item in Katowice.

Agenda sub-item 14(a): Scope of and modalities for the periodic assessment of the Technology Mechanism in relation to supporting the implementation of the Paris Agreement

80. The objective of this agenda sub-item is to elaborate the scope of and modalities for assessing the effectiveness and adequacy of the support provided to the Technology Mechanism in supporting the implementation of the Paris Agreement on matters relating to technology development and transfer with a view to enabling the CMA to undertake periodic assessment.

81. I am encouraged by the significant progress made at SBI 48.1 on this sub-item, as reflected in the detailed informal note by co-facilitators, which contains well-elaborated elements of the scope and modalities. It is my hope that this note may serve as the basis for elaborating the draft text in Bangkok and allow us to complete the work on this item in accordance with decision 1/CP.23, paragraph 2, and annex I. The substantive elements that need to be finalized relate to:

- (a) The scope of the periodic assessment, which is linked to both the effectiveness of the Technology Mechanism in supporting the implementation of the Paris Agreement, including its impact, performance and responsiveness, and the adequacy of support provided to the Technology Mechanism in supporting the implementation of the Paris Agreement, including the type of support, what the support was provided for, who the support was provided to and the scale of the support;
- (b) The modalities for undertaking the assessment, including who will undertake the periodic assessment, the type of assessment, the sources of information, the timing of the assessment and the outcome of the assessment.

82. I encourage Parties to maintain the momentum from Bonn and expedite their deliberations under this item with a view to finalizing their recommendations in Bangkok.

Agenda item 15: Matters related to climate finance: identification of the information to be provided by Parties in accordance with Article 9, paragraph 5, of the Paris Agreement

83. The objective of this agenda item is to identify the type of indicative quantitative and qualitative information to be provided by Parties in accordance with Article 9, paragraph 5, in order to provide clarity on the projected levels of public financial resources to be provided by developed country Parties to developing country Parties to allow for enhanced implementation of the Convention.

84. I am encouraged by the progress made at SBI 48.1 on this item. In Bonn, Parties worked constructively together and their work resulted in a revised informal note that reduced duplications in the text. The note captures additional views by Parties and is a step towards organizing the text in a more structured manner.

85. As requested in the conclusions of SBI 48.1, I have undertaken consultations with the Co-Chairs of the APA with a view to ensuring coherence and coordination on this item. I intend to continue undertaking such consultations at SBI 48.2.

86. In Bangkok, I trust that Parties will further advance their work on the basis of the informal note and continue to progress in the same spirit as in Bonn by transforming the text in the informal note and the outcome of their substantive discussions into a draft decision text, with clear options where necessary, that can be used as the basis for negotiations in Katowice.

#### **IV. Progressing on the joint SBSTA 48.2 and SBI 48.2 items**

87. This section contains the objectives of each of the joint agenda items as mandated to the SBSTA and the SBI, reflections by the SBI and the SBSTA Chairs on the progress made and some proposed key issues that Parties may wish to pay attention to when furthering their deliberation at SBSTA 48.2 and SBI 48.2, bearing in mind that there may be also a range of other important aspects that Parties may wish to raise or discuss during this session.

SBSTA agenda item 4: Report of the Adaptation Committee

SBI agenda item 11: Report of the Adaptation Committee

SBI agenda item 12: Matters relating to the least developed countries

88. The PAWP issues considered under these three agenda items are the matters referred to in decision 1/CP.21, paragraphs 41, 42 and 45, whereby the COP requests the Adaptation Committee (AC) to develop recommendations for consideration by CMA 1 on five mandates. Three of these mandates were to be carried out jointly with the Least Developed Countries Expert Group (LEG), of which two were to be carried out in collaboration with the Standing Committee on Finance. The recommendations are considered jointly by the SBI and the SBSTA.

89. The AC and the LEG have completed their work on the recommendations to the CMA in response to decision 1/CP.21, paragraphs 41 and 45,<sup>49</sup> and the AC has completed its work on paragraph 42.<sup>50</sup> At SBI 48.1, Parties engaged in substantive discussions on these matters, and the progress made in advancing the deliberations is captured in revised informal notes<sup>51</sup> by the co-facilitators. We believe that the revised notes contain the complete spectrum of views by Parties on the issues under the five mandates referred to in paragraph 88 above.

90. In Bangkok, we would like to encourage Parties to concentrate their efforts on finalizing the recommendations. This is an ambitious and yet achievable goal given that Parties have advanced significantly and are now close to agreeing on most of the recommendations. The substantive elements that need to be finalized relate to:

- (a) Modalities to recognize the adaptation efforts of developing country Parties, including specifying what recognition entails and what will be the relationship between such modalities and the modalities of the global stocktake;
- (b) Ways to enhance the coherence of the work of adaptation-related institutional arrangements under the Convention, including the division of work between the LEG and the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention on adaptation matters and the provision of guidance to entities under the Technology Mechanism and the Financial Mechanism;

<sup>49</sup> See [http://unfccc.int/files/meetings/bonn\\_nov\\_2017/in-session/application/pdf/sb47\\_isbi10\\_12\\_sbsta\\_4\\_informal\\_note\\_ac\\_and\\_leg.pdf](http://unfccc.int/files/meetings/bonn_nov_2017/in-session/application/pdf/sb47_isbi10_12_sbsta_4_informal_note_ac_and_leg.pdf).

<sup>50</sup> See [http://unfccc.int/files/meetings/bonn\\_nov\\_2017/in-session/application/pdf/sb47\\_isbi12\\_isbst4\\_ainformal\\_note\\_ac.pdf](http://unfccc.int/files/meetings/bonn_nov_2017/in-session/application/pdf/sb47_isbi12_isbst4_ainformal_note_ac.pdf).

<sup>51</sup> Available at <https://unfccc.int/documents/77091> and <https://unfccc.int/documents/64379>.

(c) Methodologies for assessing adaptation needs, including any follow-up work by the Intergovernmental Panel on Climate Change and partner organizations of the Nairobi work programme on impacts, vulnerability and adaptation to climate change, as appropriate;

(d) Methodologies on taking the necessary steps to facilitate the mobilization of support for adaptation in developing countries, including specifying where such steps should be taken and by whom, that is, developed and/or developing countries, as well as any follow-up work, as appropriate;

(e) Methodologies on reviewing the adequacy and effectiveness of adaptation and support, taking into consideration that the AC and the LEG did not provide recommendations and instead proposed follow-up work on such methodologies. Parties may wish to consider how they could develop these methodologies considering that the result from their application may eventually feed into the global stocktake.

91. Achieving such agreement in Bangkok would send a much-needed positive signal and could be a sizeable contribution to the overall outcome of the PAWP at COP 24.

SBSTA agenda sub-item 9(b): Modalities, work programme and functions under the Paris Agreement of the forum on the impact of the implementation of response measures

SBI agenda sub-item 17(b): Modalities, work programme and functions under the Paris Agreement of the forum on the impact of the implementation of response measures

92. The objective of this agenda sub-item is to prepare the modalities, work programme and functions (MWFs) of the forum on the impact of the implementation of response measures to serve the Paris Agreement, as referred to in decision 1/CP.21, paragraph 34.

93. At SBI 48.1 and SBSTA 48.1, Parties made good progress by providing their views on the informal document prepared by the SBI and the SBSTA Chairs. This informal document was revised by the co-chairs of the negotiation group based on Parties' views. Parties agreed to use the revised informal document as the basis for negotiations at SBI 48.2 and SBSTA 48.2.

94. While it is our understanding that the content of the revised informal document contains key elements and options, it does not necessarily represent consensus among Parties. There are critical issues that remain to be resolved. There are also linkages between SBI agenda sub-items 17(a) and 17(b), and SBSTA agenda items 9(a) and 9(b), that remain to be clarified.

95. In Bangkok, during SBI 48.2 and SBSTA 48.2, we would like to encourage Parties to focus on resolving the critical issues in a timely manner given the limited time available, advance the text of the informal document by outlining clear options and transform the existing document into a text that can be used as the basis for negotiations in Katowice to ensure a successful outcome.

96. To that end, Parties may wish to:

(a) Agree on the governance issues under the modalities of such forum;

(b) Make progress on this item by taking into consideration all the elements from the annex of the revised informal document of the SBI and the SBSTA Chairs;

(c) Address linkages with other PAWP agenda items and consider how to address them while developing the MWFs, if any;

(d) Identify issues of this item that may require follow-up work by the subsidiary bodies after Katowice.

97. We encourage Parties to expedite their work on this item in Bangkok by addressing the outstanding issues, including those described in paragraph 96 above, and capturing the outcome of their discussions in an updated informal document.

## Concluding remarks

98. The Bangkok session was agreed because it was clear to Parties that more time would be needed to give the PAWP its best chance of success in Katowice.

99. Adopting an outcome on the PAWP at COP 24 that enables the Paris Agreement to be fully operational is essential. But the state of preparedness for that outcome remains far from ideal – with a great deal of technical work to be done and with multiple options and sub-options still on the table.

100. Bangkok is Parties' last chance to do the proper groundwork for COP 24. It will be critical to use every hour to good effect and to meet the objective we have set out in this joint reflections note. We will assist you in

every way we can, but are counting on you – the Parties – to develop in Bangkok an agreed basis for negotiation, for all PAWP agenda items, that clearly delineates the main options and presents coherent choices that will need to be made in December.

101. We all want a meaningful, an ambitious and a comprehensive outcome. We will all need to work together to ensure that it can be achieved.

102. For our part, we will make every effort to help Parties in their negotiations and to ensure that the process remains focused, balanced and Party-driven across all subsidiary bodies. We will also be available to support any intersessional work between Bangkok and Katowice, should Parties consider this to be helpful.

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